

09/26/01

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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Please type a plus sign (+) inside this box [+]

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	899-00085
First Inventor	Neil A. Czarnecki
Title	Generator Status Information Display For Power Transfer Switch
Express Mail Label No.	EL812751539US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **16**]
(preferred arrangement set forth below)
Descriptive title of the Invention
Cross References to Related Applications
Statement Regarding Fed sponsored R & D
Reference to sequence listing, a table, or a computer program listing appendix
Background of the Invention
Brief Summary of the Invention
Brief Description of the Drawings (if filed)
Detailed Description
Claim(s)
Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets **4**]
5. Oath or Declaration [Total Pages **2**]
☒ Newly executed (original or copy)
☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

ADDRESS TO: Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CFR)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: Request And Certification Under
35 USC 122(b)(2)(B)(i)

6. ☐ Applicant Data Sheet. See 37 CFR 1.76

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____


Prior application information:

Examiner: _____

Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under B 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

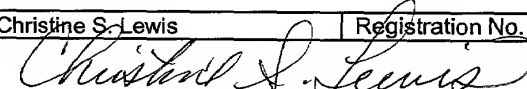
18. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number of Bar Code Label	 26753 PATENT TRADEMARK OFFICE	OR <input type="checkbox"/> Correspondence address below
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Name	William L. Falk, Reg. No. 27,709				
Address	ANDRUS, SCEALES, STARKE & SAWALL, LLP Suite 1100, 100 East Wisconsin Avenue				
City	Milwaukee	State	WI	Zip Code	53202
Country	USA	Telephone	(414) 271-7590	Fax	(414) 271-5770

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as EXPRESS MAIL - POST OFFICE TO ADDRESSEE, in an envelope addressed to Commissioner For Patents, Washington, DC 20231 this 26th day of September, 2001

Name (Print or Type)	Christine S. Lewis	Registration No. (Attorney/Agent)	
Signature		Date	September 26, 2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Neil A. Czamecki
Examiner Name	
Group Art Unit	
Attorney Docket No.	899-00085

TOTAL AMOUNT OF PAYMENT

(\$ 355.00)

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	01.2000
Deposit Account Name	ANDRUS, SCEALES, STARKE & SAWALL, LLP

- ☒
- Charge Any Additional Fee Required
-
- Under 37 CFR 1.16 and 1.17

- ☒
- Applicant claims small entity status.
-
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

- ☒
- Check
- ☐
- Credit card
- ☐
- Money Order
- ☐
- Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710 201 355		Utility filing fee	355
106 320 206 160		Design filing fee	0
107 490 207 245		Plant filing fee	0
108 710 208 355		Reissue filing fee	0
114 150 214 75		Provisional filing fee	0
SUBTOTAL (1)			(\$ 355.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
10	-20** = 0	X 0	= 0
Independent Claims	2	-3** = 0	X 0 = 0
Multiple Dependent			= 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18 203 9		Claims in excess of 20
102 80 202 40		Independent claims in excess of 3
104 270 204 135		Multiple dependent claim, if not paid
109 80 209 40		**Reissue independent claims over original patent
110 18 210 9		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0.00)

**or number previously paid, if greater, - For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	0
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	0
139 130 139 130		Non-English specification	0
147 2,520 147 2,520		For filing a request for ex parte reexamination	0
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	0
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	0
115 110 215 55		Extension for reply within first month	0
116 390 216 195		Extension for reply within second month	0
117 890 217 445		Extension for reply within third month	0
118 1,390 218 695		Extension for reply within fourth month	0
128 1,890 228 945		Extension for reply within fifth month	0
119 310 219 155		Notice of Appeal	0
120 310 220 155		Filing a brief in support of an appeal	0
121 270 221 135		Request for oral hearing	0
138 1,510 138 1,510		Petition to institute a public use proceeding	0
140 110 240 55		Petition to revive - unavoidable	0
141 1,240 241 620		Petition to revive - unintentional	0
142 1,240 242 620		Utility issue fee (or reissue)	0
143 440 243 220		Design issue fee	0
144 600 244 300		Plant issue fee	0
122 130 122 130		Petitions to the Commissioner	0
123 50 123 50		Processing fee under 37 CFR 1.17(q)	0
126 180 126 180		Submission of Information Disclosure Stmt	0
581 40 581 40		Recording each patent assignment per property (times number of properties)	0
146 710 246 355		Filing a submission after final rejection (37 CFR § 1.129(b))	0
149 710 249 355		For each additional invention to be examined (37 CFR § 1.129(b))	0
179 710 279 355		Request for Continued Examination (RCE)	0
169 900 169 900		Request for expedited examination of a design application	0

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 0.00)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	William L. Falk	Registration No. (Attorney/Agent)	27,709	Telephone	(414) 271-7590
Signature	<i>William L. Falk</i>	Date	September 26, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	Neil A. Czarnecki
	Title	Generator Status Information Display For Power Transfer Switch
	Atty. Docket Number	899-00085

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

September 26, 2001

Date



Signature

William L. Falk

Registration No. 27,709

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(b)(iii)).**

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